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Practitioner's Docket No. 2003DE126

APR 04 2006

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hoellein et al.

Application No.: 10/567,308

Group No.:

Filed: February 3, 2006

For: Continuous Method for the Production of a Pigment Masterbatch

Commissioner for Patent

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*  
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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

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7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (37 CFR 1.98(b))	ATTY. DOCKET NO. 2003DE126	SERIAL NO. 10/567,308
	APPLICANT: Hoellein et al.	
	FILING DATE: 2/3/2006	GROUP:

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## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date if Appropriate
	AA	5,262,268	11/16/1993	Bertrand et al.			
	AB	5,376,702	12/27/1994	Stibal et al.			
	AC	4,474,473	10/2/1984	Higuchi et al.			
	AD	4,759,801	7/26/1988	Goldmann et al.			
	AE	6,273,599	8/14/2001	Affeldt et al.			
	AF	5,504,279	2/18/1997	Bernhardt et al.			
	AG	20030031850	2/13/2003	Scharnke, Wolfgang et al.			
	AH	5,049,595	9/17/1991	Dethlefs et al.			
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	EP 1256437	11/13/2002	EPO			See AG above
	BB	EP 0478987	4/8/1992	EPO			English
	BC	EP 0373300	6/20/1990	EPO			See AH above
	BD						
	BE						
	BF						
	BG						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA	PCT International Search Report for PCT/EP2004/008420, mailed 11/4/2004
CB	English Abstract for JP 54014461, 2/2/1979.
CC	English Abstract for JP 01288425, 11/2/1989.
EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	